2682

PTO/SB/21 (08-00)
**MODIFIED**

# TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

213200.00072    * plus cited references

| | |
|---|---|
| Application Number | 09/920,240 |
| Filing Date | 08/01/2001 |
| First Named Inventor | Pierte ROO |
| Group Art Unit | 2682 |
| Examiner Name | Eugene Yun |

| Total Number of Pages in This Submission | 4 * | Attorney Docket Number | MP0039.CIP |
|---|---|---|---|

## ENCLOSURES    *(check all that apply)*

- [ ] Fee Transmittal Form
  - [ ] **Credit Card Authorization**
- [ ] Amendment / Reply
  - [ ] After Final
  - [ ] Affidavits/declaration(s)
- [ ] Extension of Time Request
- [ ] Express Abandonment Request
- [✓] Information Disclosure Statement with PTO-1449s and cited references
- [ ] Certified Copy of Priority Document(s)
- [ ] Response to Missing Parts/ Incomplete Application
  - [ ] Response to Missing Parts under 37 CFR 1.52 or 1.53

- [ ] Assignment Papers *(for an Application)*
- [ ] Drawing(s)
- [ ] Licensing-related Papers
- [ ] Petition
- [ ] Petition to Convert to a Provisional Application
- [ ] Associate Power of Attorney
- [ ] Terminal Disclaimer
- [ ] Request for Refund
- [ ] CD, Number of CD(s) _____

- [ ] After Allowance Communication to Group
- [ ] Appeal Communication to Board of Appeals and Interferences
- [ ] Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
- [ ] Proprietary Information
- [ ] Status Letter
- [ ] Other Enclosure(s) *(please identify below):*

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| Firm *or* Individual name | Andrew J. Bateman Registration No.: 45,573 |
|---|---|
| Signature | *[signature]* |
| Date | 08/11/2005 |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

| Typed or printed name | |
|---|---|
| Signature | | Date | |

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:                          )

Pierte ROO                                     )          Examiner:  Eugene Yun
                                               )
Application No.:  09/920,240                   )          Group Art Unit:  2682
                                               )
Filed:  August 1, 2001                         )
                                               )
For:    ACTIVE RESISTIVE SUMMER FOR            )
        A TRANSFORMER HYBRID                   )          Date:  August 11, 2005

*(stamp: AUG 11 2005 OIPE PATENT & TRADEMARK OFFICE)*

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
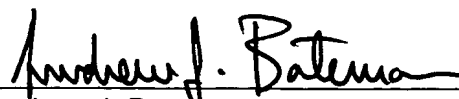P.O. Box 1450
Alexandria, VA  22313-1450

Sir:

In compliance with the duty of disclosure under 37 CFR § 1.56 and the requirements of M.P.E.P. § 2001.06(c), and in accordance with the practice under 37 CFR §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed PTO-1449s and to the attached copies of any non-U.S. Patent or literature references cited thereon.

In accordance with 37 CFR § 1.97(h), this Information Disclosure Statement is not to be construed as an admission that the information cited is or is considered to be material to patentability as defined in 37 CFR § 1.56(b), nor as an admission that the information constitutes prior art within the meaning of 35 USC §§ 102 and/or 103.

It is respectfully requested that the information listed on the PTO-1449 be considered by the Examiner, and that an initialed copy of the PTO-1449 be returned indicating that such information was considered.

No fee is believed necessary for the submission of this Information Disclosure Statement. However, if deemed necessary, the Commissioner is authorized to charge the IDS fee of $180.00 to Deposit Account No. 50-1710.

Should the Examiner have any questions, Applicant's undersigned attorney is reachable by telephone in our Washington, D.C. office at (202) 625-3547.  The correspondence address of record is provided below.

IP Docket
Katten Muchin Rosenman, LLP
1025 Thomas Jefferson St., NW
East Lobby, Suite 700
Washington, DC 20007-5201
Facsimile No.: (202) 298-7570

Respectfully submitted,
KATTEN MUCHIN ROSENMAN, LLP

By:  _Andrew J. Bateman_____
     Andrew J. Bateman
     Registration No. 45,573

| ATTORNEY DOCKET NO. | APPLICATION NO. |
|---|---|
| MP0039.CIP | 09/920,240 |

# LIST OF REFERENCES CITED BY APPLICANT

| | APPLICANT |
|---|---|
| | Pierte ROO |

DATE SUBMITTED TO USPTO: August 11, 2005

| FILING DATE | GROUP |
|---|---|
| 08/01/2001 | 2682 |

## U.S. PATENT DOCUMENTS

| *EXAMINER INITIALS | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
|---|---|---|---|---|---|---|---|
| | | 6,462,688 | 10-08-02 | SUTARDJA | | | |
| | | 09/737,743 | | SUTARDJA | | | 12-18-00 |
| | | 09/920,241 | | SUTARDJA | | | 08-01-01 |
| | | 6,844,837 | 01-18-05 | SUTARDJA | | | |
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| EXAMINER | DATE CONSIDERED |
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| FORM PTO 1449 MODIFIED | ATTORNEY DOCKET NO. | APPLICATION NO. |
|---|---|---|
| U.S. PATENT AND TRADEMARK OFFICE | MP0039.CIP | 09/920,240 |

# LIST OF REFERENCES CITED BY APPLICANT

| | APPLICANT |
|---|---|
| | Pierte ROO |

DATE SUBMITTED TO USPTO: August 11, 2005

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|---|---|
| 08/01/2001 | 2682 |

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| *EXAMINER INITIALS | | |
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| | | Mano, Digital Logic and Computer Design |
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